



The following Questionnaire provides guidance in assessing Politically Exposed Persons (PEP's).

Please note that Prominent and Important Persons (PIP's) must also be subject to assessment in line with best practice in other jurisdictions.

Full Names: _____

NRC: (If Zambian resident) / Passport (If not a Zambian resident) / TPIN no. (If an institution): _____

Kindly fill in the following Questionnaire.

1. Are you:

An individual who holds, or has held public office, and includes-

(i) a Head of State or Government; Yes ☐ No ☐

(ii) a Minister; Yes ☐ No ☐

(iii) a Deputy Minister; Yes ☐ No ☐

(iv) a politician; Yes ☐ No ☐

(v) a political party official; Yes ☐ No ☐

(vi) a judicial official or other senior official of a quasi-judicial body; Yes ☐ No ☐

(vii) A military official; Yes ☐ No ☐

(viii) If a military official, what ranking are you? _____

(ix) A member of an administrative management or supervisory body of a State owned enterprise;

Yes ☐ No ☐

(b) an individual who is, or has been, entrusted with a public function by a State, public body or a local or international organization; Yes ☐ No ☐

(c)an immediate family member of a person referred to in paragraph (a), or Yes ☐ No ☐

(d)a close associate of a person referred to in paragraph (a). Yes ☐ No ☐

2. Are you a Chief Executive Officer or hold a position equivalent to a Chief Executive Officer? Yes ☐ No ☐

DECLARATION: All the information contained herein is true and correct. I also confirm that all responses given in the questionnaire are a true and correct reflection.

DATE: _____ SIGNATURE: _____